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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/074,272

02/14/2002

Robert K. Yang

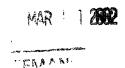
1199-4

CONFIRMATION NO. 4926

FORMALITIES LETTER

OC00000007597643

Daniel A. Scola, Jr. HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, NY 11791



Date Mailed: 03/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.

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A copy of this notice <u>MUST</u> be returned with the reply.

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Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS





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PATENT

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

R. K. Yang et al.

Examiner:

Unknown

Serial No.:

10/074,272

Group Art Unit:

1732

Confirmation No:

4926

Docket:

1199-4

Filed:

January 22, 2002

Dated:

June 4, 2002

For:

THIN FILM WITH NON-SELF-AGGREGATING UNIFORM HETERO-GENEITY AND DRUG DELIVERY SYSTEMS MADE THEREFROM

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:

Commissioner for Patents, Washington, D.C. 20231

on June 4, 2002

Signature: K.J. Goodhand/

Commissioner for Patents Washington, DC 20231-0001

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted dated February 14, 2002, enclosed herewith are the following:

1. Copy of Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted.

- 2. Combined Declaration and Power of Attorney executed by the inventors.
- 3. Check in the amount of \$65.00 to cover the surcharge fee for filing a late Declaration set forth in 37 C.F.R. §1.16(1) for a small entity in compliance with 37 C.F.R. §1.27.
- 4. Substitute drawings (2 sheets) in dark ink.
- 5. Petition for Extension of Time for one month and check for \$110.00 pursuant to 37 C.F.R. §1.136(a).

Assignment documents are concurrently filed with this response in a separate correspondence to the Assignment Division, along with the required recordation form cover sheet and the fee of \$40.00 is to be credited to Deposit Account No. 08-2461.

Please charge any deficiencies or credit any overpayment in these fees to Deposit Account No. 08-2461.

The Application Branch is requested to call the undersigned attorney should there be any questions regarding this Response.

Respectfully submitted,

Anna-Lisa Gallo

Registration No.: 50,279 Attorney for Applicant(s)

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